

Preliminary HCBS Transition Planning Compliance Report

An action item identified in the Utah HCBS Setting Transition Plan describes that the State will conduct an initial review of the HCBS Waiver Service Sites. The State has completed this review. This report provides the review results.

The Department of Health queried the Medicaid Management Information System (MMIS) to identify all enrolled HCBS waiver providers. Each waiver and its associated services are reported separately. The State conducted its preliminary categorization by describing services as either “presumed to be compliant” or “requires additional review”. In addition, a listing of provider types and the number of providers has been supplied to help assess the scope of the in-depth reviews that will occur in the upcoming months.

The Department of Health took a conservative approach when designating providers as “presumed to be compliant”. The State only identified services as “presumed to be compliant” when the services are not dependent on the setting and that are direct services provided to the waiver participant. For example, in the Medicaid Autism Waiver, Applied Behavioral Analysis is a service that is provided directly to the child. The service is provided in the child’s home or other naturally occurring setting in the community. Accordingly, this service is presumed to be fully compliant with the HCBS regulations. In addition, providers that offer multiple types of services, were categorized as “requires additional review” if the provider had any possibility of providing a service that may not be compliant. For example, if a provider is enrolled to offer Personal Budget Assistance, Respite Care, Behavioral Consultation and Residential Habilitation, the provider as a whole would be classified as a ‘Residential Service Provider’ and designated as “requires additional review” to ensure all sites will be fully assessed.

When services are listed as “presumed to be compliant” a brief narrative explanation of the category is provided.

HCBS Transition Planning Compliance Report

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Acquired Brain Injury Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant maximum flexibility in hiring staff of their choosing. Many Acquired Brain Injury Waiver services are provided through SAS.

	Total Providers	Percent of Total
Presumed Compliant	5	100.00%
Totals	5	100%

Support Coordination Agency

Support Coordination Services are services provided to coordinate the array of services the participant receives. Services are provided to the participant and are not dependent on a setting.

	Total Providers	Percent of Total
Presumed Compliant	74	100.00%
Totals	74	100%

Emergency Response Services

Emergency Response Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	5	100.00%
Totals	5	100%

Day Support Services

	Total Providers	Percent of Total
Requires Additional Review	18	100.00%
Totals	18	100%

Residential Facility / Supported Living

	Total Providers	Percent of Total
Requires Additional Review	95	100.00%
Totals	95	100%

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Acquired Brain Injury Waiver

Supported Employment

	Total Providers	Percent of Total
Requires Additional Review	3	100.00%
Totals	3	100%

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Aging Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant maximum flexibility in hiring staff or their choosing. In the Aging Waiver, Personal Care Services are available through SAS.

	Total Providers	Percent of Total
Presumed Compliant	13	100.00%
Totals	13	100%

Home Health Agency

Home Health Agency Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	135	100.00%
Totals	135	100%

Transportation Services

Non- Medical Transportation Services are provided to assist the participant in accessing the community.

	Total Providers	Percent of Total
Presumed Compliant	41	100.00%
Totals	41	100%

Medical Equipment Supplier

Medical Equipment Supplies are provided in the home and community to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	10	100.00%
Totals	10	100%

Emergency Response Services

Emergency Response Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	50	100.00%
Totals	50	100%

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Aging Waiver

Home Delivered Meals

Home Delivered Meals are provided in the home to assure the participant's nutritional health in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	12	100.00%
Totals	12	100%

Personal Care Provider

Personal Care Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	202	100.00%
Totals	202	100%

Home and Vehicle Modifications

Home and Vehicle Modifications are provided in the home and community to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	4	100.00%
Totals	4	100%

Case Management Agency

Case Management Agency Services are services provided to coordinate the array of services the participant receives. Services are provided to the participant and are not dependent on a setting.

	Total Providers	Percent of Total
Presumed Compliant	24	100.00%
Totals	24	100%

Facility Based Respite Services

Facility-based respite services are provided to give temporary relief to the primary caregiver. This service is time limited (less than 14 days) and is allowed to be provided in a facility-based setting.

	Total Providers	Percent of Total
Presumed Compliant	21	100.00%
Totals	21	100%

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Aging Waiver

Adult Day Care

	Total Providers	Percent of Total
Requires Additional Review	16	100.00%
Totals	16	100%

Autism Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant or their family maximum flexibility in hiring staff or their choosing. In the Autism Waiver, Respite Care Services are available through SAS.

	Total Providers	Percent of Total
Presumed Compliant	3	100.00%
Totals	3	100%

In-Home Therapy

In-Home Therapy (Applied Behavioral Analysis) is provided directly to the child to improve the child's development. The service is provided in the child's home or other naturally occurring setting in the community.

	Total Providers	Percent of Total
Presumed Compliant	11	100.00%
Totals	11	100%

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Community Supports Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant maximum flexibility in hiring staff of their choosing. Many Community Supports Waiver services are provided through SAS.

	Total Providers	Percent of Total
Presumed Compliant	5	100.00%
Totals	5	100%

Support Coordination Agency

Support Coordination Services are services provided to coordinate the array of services the participant receives. Services are provided to the participant and are not dependent on a setting.

	Total Providers	Percent of Total
Presumed Compliant	75	100.00%
Totals	75	100%

Emergency Response Services

Emergency Response Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	5	100.00%
Totals	5	100%

Day Support Services

	Total Providers	Percent of Total
Requires Additional Review	14	100.00%
Totals	14	100%

Residential Facility / Supported Living

	Total Providers	Percent of Total
Requires Additional Review	101	100.00%
Totals	101	100%

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Community Supports Waiver

Supported Employment

	Total Providers	Percent of Total
Requires Additional Review	10	100.00%
Totals	10	100%

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New Choices Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant maximum flexibility in hiring staff of their choosing. In the New Choices Waiver, Personal Care Services are available through SAS.

	Total Providers	Percent of Total
Presumed Compliant	3	100.00%
Totals	3	100%

Home Health Agency

Home Health Agency Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	57	100.00%
Totals	57	100%

Transportation Services

Non- Medical Transportation Services are provided to assist the participant in accessing the community.

	Total Providers	Percent of Total
Presumed Compliant	9	100.00%
Totals	9	100%

Medical Equipment Supplier

Medical Equipment Supplies are provided in the home and community to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	14	100.00%
Totals	14	100%

Emergency Response Services

Emergency Response Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	8	100.00%
Totals	8	100%

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New Choices Waiver

Assisted Living Facility

	Total Providers	Percent of Total
Requires Additional Review	185	100.00%
Totals	185	100%

Home Delivered Meals

Home Delivered Meals are provided in the home to assure the participant's nutritional health in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	3	100.00%
Totals	3	100%

Personal Care Provider

Personal Care Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	4	100.00%
Totals	4	100%

Home and Vehicle Modifications

Home and Vehicle Modifications are provided in the home and community to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	1	100.00%
Totals	1	100%

Case Management Agency

Case Management Agency Services are services provided to coordinate the array of services the participant receives. Services are provided to the participant and are not dependent on a setting.

	Total Providers	Percent of Total
Presumed Compliant	19	100.00%
Totals	19	100%

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Physical Disabilities Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant maximum flexibility in hiring staff of their choosing. In the Physical Disabilities Waiver, Personal Care Services are available through SAS.

	Total Providers	Percent of Total
Presumed Compliant	5	100.00%
Totals	5	100%

Support Coordination Agency

	Total Providers	Percent of Total
Presumed Compliant	8	100.00%
Totals	8	100%

Emergency Response Services

Emergency Response Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	5	100.00%
Totals	5	100%

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Technology Dependent Waiver

Financial Management Services

Financial Management Services are provided in support of self-directed or self-administered services (SAS). Services delivered through the SAS method enable the participant maximum flexibility in hiring staff of their choosing. In the Technology Dependent Waiver, Respite Services are available through SAS.

	Total Providers	Percent of Total
Presumed Compliant	2	100.00%
Totals	2	100%

Home Health Agency

Home Health Agency Services are provided in the home to assure the participant's health and safety in a manner that promotes independence.

	Total Providers	Percent of Total
Presumed Compliant	15	100.00%
Totals	15	100%

In-Home Therapy

In-Home Therapy is provided directly to the child to improve the child's development. The service is provided in the child's home.

	Total Providers	Percent of Total
Presumed Compliant	1	100.00%
Totals	1	100%