Waiting List Management
Draft Plan

August 2018
Background

2017 Interim Session Budget Deep Dive
  • Examine cost-containment strategies implemented by other states.

2018 General Session HB2 Intent Language
  • Study and report on effective management strategies for the DSPD waiting list.
Executive Summary: People Needing Support

- By 2030: 27,149 Utahns may need DSPD services
  - Includes 3,000 people on the current waiting list
  - 79.3% are children
  - Need for preventative supports

Executive Summary:
Cost to Support 27,149 Utahns

Ongoing General Fund Needed:

- Current System $375M
- Draft Plan $141M
- w/ Additional Savings $112M

Draft plan includes system improvements and internal efficiencies
Focus Group Themes

- Eligibility/application paperwork is overwhelming
- Insufficient preventative services lead to crisis
- The waiting list discourages applicants
- Lack of awareness about DSPD services
Estimating the Unmet Need

**Sources:** Utah’s Long-Term Demographic and Economic Projections Summary (July, 2017) Research Brief

2030 Population: i 3,896,678
Prevalence: ii 2.71%
Participation: iii 32.1%

i Population is the total number of people living in Utah in the year 2030
ii Prevalence is the percent of the population with a disability qualifying them for DSPD services
iii Participation is the percent of those who qualify who will utilize services
Utahns in Need of Support

- ICFs: 775
- In-Services: 5,974
- Total Waiting List: 24,149
Utahns in Need of Support
Populations in Need of Support

Lifespan (Limited Supports Waiver-LSW)

Adults Age 18 and Older (Independent Supports Waiver-ISW)

People Needing Residential Support (Comprehensive/Legacy)
Limited Supports Waiver

Foundational Services
  Family Training
  Support Coordination
  Community Integrated Employment

Self-Directed/Family Directed Supports
  Respite
  Behavior Consultation
  Environmental Adaptations (Emphasis on Technology)
  After School/Summer Senior Programs (Community Integrated)

Enhanced Supports
  One-time increase
  E.g. One time home modification, temporary loss of caregiver,
      enhanced behavior support
Limited Supports Waiver
Total Dollars (State + Federal)

- Employment
  +$7,200

- Respite, Behavior, Environmental Modifications, Community Based Programs
  +$8,800

- One-time Increase for Enhanced Support
  +$10,000

$1,200 Support Coord./Family Training
$8,400 Max Foundational Services
$10,700 Average Cost
$17,200 Max Basic Support
$27,200 Max Enhanced Support
Effectiveness of Respite

N = 151; Time Elapsed: 8 Months
Independent Supports Waiver

Foundational Services
- Family Training
- Support Coordination
- Community Integrated Employment

Self-Directed/Family Directed Supports
- Respite
- Behavior Consultation
- Environmental Adaptations (Emphasis on Technology)
- After School/Summer Senior Programs (Community Integrated)
- Personal Assistance
- Technology Assisted Independence

Enhanced Supports
- One-time increase
- E.g. One time home modification, temporary loss of caregiver,
  enhanced behavior support
Independent Supports Waiver
Total Dollars (State + Federal)

- Employment
  +$7,200

- Respite, Behavior, Environmental Modifications, Community Based Programs, Personal Assistance, Technology Assisted Independence
  +$38,800

- One-time Increase for Enhanced Support
  +$10,000

$1,200 Support Coord./Family Training

$8,400 Max Foundational Services

$35,800 Average Cost

$47,200 Max Basic Support

$57,200 Max Enhanced Support
Comprehensive Waiver

-10%

Early Intervention

Need for Comprehensive Supports

Entering Comprehensive Waiver From WL

Year 1  Year 2  Year 3  Year 4  Year 5  Year 6  Year 7  Year 8  Year 9  Year 10
Options for Supporting All Utahns in Need by 2030

Current System (GF): $375 M
- Comprehensive Waiver: $13,800 GF, 27,149 people

Draft Plan (GF): $141 M
- Comprehensive Waiver: $26,800 GF, 1,500 people
- Independent Supports Waiver: $10,700 GF, 2,390 people
- Limited Supports Waiver: $3,200 GF, 23,259 people

+ Cost to access DOH Medicaid state plan services
+ Additional administrative costs
Annual GF Potential Cost Savings

Attrition: ($1.7M)
Preventing Residential Placements: ($1.2M)

Total: ($2.9M)

No Mandated Additional Needs for Limited/Independent Supports Waivers
Scaling the Feasibility of People Served Annually

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<thead>
<tr>
<th>General Fund</th>
<th>People Served</th>
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<tr>
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<td>Current System</td>
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<tr>
<td>$1,000,000</td>
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<td>$20,000,000</td>
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Internal Efficiencies

• Reduced Needs Assessment Questionnaire
  – First Come/First Served
  – Random Selection

• Eliminate Unnecessary Review
  – Capitated Budgets
  – Medicaid Authorized Criteria

• Reduced Paperwork Requirement
Building Capacity

• Providers

• Support Coordinators

• Transition, Eligibility, Claims Processing Services
## Draft Roadmap

<table>
<thead>
<tr>
<th>Event</th>
<th>Process</th>
<th>Date</th>
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<tbody>
<tr>
<td>Limited/Independent Supports Waivers</td>
<td>Planning</td>
<td>7/4/2018</td>
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<tr>
<td>Limited/Independent Supports Waivers Phase I</td>
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<tr>
<td>Limited/Independent Supports Waivers Phase II</td>
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<td>Streamline Intake Process</td>
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<td>Stakeholder Input</td>
<td>Implementation</td>
<td>7/1/2019</td>
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<td>Study of CMS Authorities</td>
<td>Implementation</td>
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### Implementation: July 1, 2020

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<th>Event</th>
<th>Process</th>
<th>Start Date</th>
<th>End Date</th>
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<td>Service Design</td>
<td>Obtain Stakeholder Input</td>
<td>July 2019</td>
<td>January 2020</td>
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<tr>
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<td>Develop Rates, Contracts, Caps</td>
<td>July 2019</td>
<td>April 2020</td>
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<td>Obtain DOH Approval</td>
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<td>Obtain BCM Approval</td>
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<td>Service Entry</td>
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<td>January 2020</td>
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<td>Implement Statute Change</td>
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<td>New Waiver Application</td>
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<td>Public Input Process</td>
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<td>Obtain CMS Approval</td>
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<td>April 2020</td>
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<tr>
<td>Streamline Intake Process</td>
<td>Reduce Eligibility Paperwork</td>
<td>July 2019</td>
<td>April 2020</td>
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Ongoing Feedback

dspd.utah.gov/legislative-info/

- View materials
- Sign up for our mailing list
- Give us your opinion
Questions?

- Raise your hand in Adobe connect
- Send a question through Adobe Chat
- Email a question to research_dspd@utah.gov
- By phone